

Revance Therapeutics, Inc.  
Form SC 13G/A  
February 13, 2019

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 13G**

**Under the Securities Exchange Act of 1934**

**(Amendment No. 2)\***

**REVANCE THERAPEUTICS, INC.**

**(Name of Issuer)**

**Common Stock, par value \$0.001 per share**

**(Title of Class of Securities)**

**761330109**

**(CUSIP Number)**

**Martin P. Sutter**

**Essex Woodlands Health Ventures V, L.L.C.**

**Essex Woodlands Health Ventures VIII, LLC**

**21 Waterway Avenue, Suite 225**

**The Woodlands, Texas 77380**

**(281) 364-1555**

**(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)**

**December 31, 2018**

**(Date of Event which Requires Filing of this Statement)**

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

SCHEDULE 13G

CUSIP No. 761330109

Page 2 of 21 Pages

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures V, L.L.C.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 457,085

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY -0-

EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 457,085

8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

457,085

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

1.24%

12 TYPE OF REPORTING PERSON

OO

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures Fund V, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 457,085  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 457,085  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

457,085

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

1.24%

12 TYPE OF REPORTING PERSON

PN

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures VIII, LLC

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 2,884,962<sup>(1)</sup>  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 2,884,962<sup>(1)</sup>  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962<sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.80%

12 TYPE OF REPORTING PERSON

OO

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.



1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures VIII, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 2,884,962<sup>(1)</sup>  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 2,884,962<sup>(1)</sup>  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962<sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.80%

12 TYPE OF REPORTING PERSON

PN

- (1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures Fund VIII, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 2,614,482  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 2,614,482  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,614,482

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.07%

12 TYPE OF REPORTING PERSON

PN

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures Fund VIII-A, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 188,527  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 188,527  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

188,527

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.51%

12 TYPE OF REPORTING PERSON

PN

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Essex Woodlands Health Ventures Fund VIII-B, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

NUMBER OF

SHARES 81,953  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY  
EACH -0-  
7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 81,953  
8 SHARED DISPOSITIVE POWER

WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

81,953

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.22%

12 TYPE OF REPORTING PERSON

PN



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1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Martin P. Sutter

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 6 -0-  
SHARED VOTING POWER

BENEFICIALLY

OWNED BY 3,342,047<sup>(1)</sup>  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 8 -0-  
SHARED DISPOSITIVE POWER

WITH

3,342,047<sup>(1)</sup>

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,342,047<sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

9.04%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P., 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P. and 457,085 shares held by Essex Woodlands Health Ventures Fund V, L.P.

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Jeff Himawan

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 6 -0- SHARED VOTING POWER

BENEFICIALLY

OWNED BY 2,884,962 <sup>(1)</sup>  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 8 -0- SHARED DISPOSITIVE POWER

WITH

2,884,962 <sup>(1)</sup>

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962 <sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.8%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.

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## 1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Ronald W. Eastman

## 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

## 3 SEC USE ONLY

## 4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

## NUMBER OF

SHARES 6 -0- SHARED VOTING POWER

## BENEFICIALLY

OWNED BY  
EACH 7 2,884,962<sup>(1)</sup> SOLE DISPOSITIVE POWER

## REPORTING

PERSON 8 -0- SHARED DISPOSITIVE POWER

WITH

2,884,962<sup>(1)</sup>

## 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962<sup>(1)</sup>

## 10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.80%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.

1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Guido J. Neels

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 6 -0- SHARED VOTING POWER

BENEFICIALLY

OWNED BY 2,884,962 <sup>(1)</sup>  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 8 -0- SHARED DISPOSITIVE POWER

WITH

2,884,962 <sup>(1)</sup>

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962 <sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.8%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.



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1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Petri Vainio

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 6 -0-  
SHARED VOTING POWER

BENEFICIALLY

OWNED BY 2,884,962<sup>(1)</sup>  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 8 -0-  
SHARED DISPOSITIVE POWER

WITH

2,884,962<sup>(1)</sup>

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962<sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.80%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.

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## 1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Steve Wiggins

## 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

## 3 SEC USE ONLY

## 4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

## NUMBER OF

SHARES 6 -0- SHARED VOTING POWER

## BENEFICIALLY

OWNED BY  
EACH 7 2,884,962<sup>(1)</sup> SOLE DISPOSITIVE POWER

## REPORTING

PERSON 8 -0- SHARED DISPOSITIVE POWER

WITH

2,884,962<sup>(1)</sup>

## 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,884,962<sup>(1)</sup>

## 10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.80%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P. and 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P.

CUSIP No. 761330109

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1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Immanuel Thangaraj

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 6 -0-  
SHARED VOTING POWER

BENEFICIALLY

OWNED BY 3,342,047<sup>(1)</sup>  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 8 -0-  
SHARED DISPOSITIVE POWER

WITH

3,342,047<sup>(1)</sup>

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,342,047<sup>(1)</sup>

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

9.04%

12 TYPE OF REPORTING PERSON

IN

(1) Includes 2,614,482 shares held by Essex Woodlands Health Ventures Fund VIII, L.P., 188,527 shares held by Essex Woodlands Health Ventures Fund VIII-A, L.P., 81,953 shares held by Essex Woodlands Health Ventures Fund VIII-B, L.P. and 457,085 shares held by Essex Woodlands Health Ventures Fund V, L.P.

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**ORIGINAL REPORT ON SCHEDULE 13G**

**Item 1.**

(a) Name of Issuer: Revance Therapeutics, Inc.

(b) Address of Issuer's Principal Executive Offices:

7555 Gateway Boulevard  
Newark, California 94560

**Item 2.**

(a) Name of Person Filing: This Schedule 13G is being filed jointly by Essex Woodlands Health Ventures Fund V, L.P., a Delaware limited partnership ( **Essex V** ), Essex Woodlands Health Ventures V, L.L.C., a Delaware limited liability company, the general partner of Essex V (the **Essex V General Partner** ), Essex Woodlands Health Ventures Fund VIII, L.P., a Delaware limited partnership ( **Essex VIII** ), Essex Woodlands Health Ventures Fund VIII-A, L.P., a Delaware limited partnership ( **Essex VIII-A** ), Essex Woodlands Health Ventures Fund VIII-B, L.P., a Delaware limited partnership ( **Essex VIII-B** ), and together with Essex VIII and Essex VIII-A, the **Essex VIII Funds** ), Essex Woodlands Health Ventures VIII, L.P., a Delaware limited partnership, the general partner of the Essex VIII Funds (the **Essex VIII Funds GP** ), Essex Woodlands Health Ventures VIII, LLC, a Delaware limited liability company, the general partner of the Essex VIII Funds GP (the **Essex VIII General Partner** ), Martin P. Sutter, an individual, Jeff Himawan, an individual, Ronald W. Eastman, an individual, Guido J. Neels, an individual, Petri Vainio, an individual, Steve Wiggins, an individual, and Immanuel Thangaraj, an individual (each a **Manager** , collectively, the **Managers** , and together with the Essex VIII Funds, Essex VIII Funds GP and the Essex VIII General Partner, the **Reporting Persons** ).

(b) Address of Principal Business Office: The address of the principal business of each of the Reporting Persons is 21 Waterway Avenue, Suite 225, The Woodlands, Texas 77380.

(c) Citizenship: Essex V, the Essex VIII Funds and Essex VIII Funds GP are each Delaware limited partnerships. Essex V General Partner and the Essex VIII General Partner are each Delaware limited liability companies. Each Manager is an individual who is a citizen of the United States.

(d) Title and Class of Securities: Common Stock

(e) CUSIP Number: 761330109

**Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a 8).
- (e) An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a 3);
- (j) Group, in accordance with § 240.13d-1(b)(1)(ii)(J).

**Item 4. Ownership.**

Provide the following information regarding the aggregate number and percentage of the class of securities of the Issuer identified in Item 1.

(a) Amount Beneficially Owned:

For Essex V and Essex V General Partner, 457,085 shares.

For Essex VIII Funds GP and Essex VIII General Partner, 2,884,962 shares.

For Essex VIII, 2,614,482 shares.

For Essex VIII-A, 188,527 shares.

For Essex VIII-B, 81,953 shares.

For each of the Managers: Martin P. Sutter and Immanuel Thangaraj, 3,342,047 shares.

For each of the Managers: Jeff Himawan, Ronald W. Eastman, Guido J. Neels, Petri Vainio and Steve Wiggins, 2,884,962 shares.

(b) Percent of Class:

The following percentages are calculated based on 36,987,667 shares of Common Stock outstanding on October 25, 2018, as set forth in the Issuer's Form 10-Q filed with the Securities and Exchange Commission on November 2, 2018.



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For Essex V and Essex V General Partner, 1.24%.

For each of Essex VIII Funds GP and Essex VIII General Partner, 7.80%.

For Essex VIII, 7.07%.

For Essex VIII-A, 0.51%.

For Essex VIII-B, 0.22%.

For each of the Managers: Martin P. Sutter and Immanuel Thangaraj, 9.04%.

For each of the Managers: Jeff Himawan, Ronald W. Eastman, Guido J. Neels, Petri Vainio and Steve Wiggins, 7.80%.

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

For Essex V and Essex V General Partner, 457,085 shares.

For Essex VIII Funds GP and Essex VIII General Partner, 2,884,962 shares.

For Essex VIII, 2,614,482 shares.

For Essex VIII-A, 188,527 shares.

For Essex VIII-B, 81,953 shares.

(ii) Shared power to vote or to direct the vote:

For each of the Managers: Martin P. Sutter and Immanuel Thangaraj, 3,342,047 shares.

For each of the Managers: Jeff Himawan, Ronald W. Eastman, Guido J. Neels, Petri Vainio and Steve Wiggins, 2,884,962 shares.

(iii) Sole power to dispose or to direct the disposition of:

For Essex V and Essex V General Partner, 457,085 shares.

For Essex VIII Funds GP and Essex VIII General Partner, 2,884,962 shares.

For Essex VIII, 2,614,482 shares.

For Essex VIII-A, 188,527 shares.

For Essex VIII-B, 81,953 shares.

(iv) Shared power to dispose or to direct the disposition of:

For each of the Managers: Martin P. Sutter and Immanuel Thangaraj, 3,342,047 shares.

For each of the Managers: Jeff Himawan, Ronald W. Eastman, Guido J. Neels, Petri Vainio and Steve Wiggins, 2,884,962 shares.

**Item 5. Ownership of Five Percent or Less of a Class.**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

**Item 6. Ownership of More than Five Percent on Behalf of Another Person.**

N/A

**Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company.**

N/A

**Item 8. Identification and Classification of Members of the Group.**

N/A

**Item 9. Notice of Dissolution of Group.**

N/A

**Item 10. Certifications.**

N/A

SIGNATURES

After reasonable inquiry and to the best of our knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Date: February 13, 2019

**ESSEX WOODLANDS HEALTH VENTURES V, L.L.C.**

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

**INDIVIDUALS:**

/s/ Martin P. Sutter  
Name: Martin P. Sutter

**ESSEX WOODLANDS HEALTH VENTURES FUND V,  
L.P.**

By: Essex Woodlands Health Ventures V, L.L.C.

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

/s/ Jeff Himawan  
Name: Jeff Himawan

**ESSEX WOODLANDS HEALTH VENTURES VIII, LLC**

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

/s/ Ronald W. Eastman  
Name: Ronald W. Eastman

**ESSEX WOODLANDS HEALTH VENTURES VIII, L.P.**

By: Essex Woodlands Health Ventures VIII, LLC,  
Its General Partner

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

/s/ Guido J. Neels  
Name: Guido Neels

/s/ Petri Vainio  
Name: Petri Vainio

**ESSEX WOODLANDS HEALTH VENTURES  
FUND VIII, L.P.**

By: Essex Woodlands Health Ventures VIII, L.P.,  
Its General Partner

By: Essex Woodlands Health Ventures VIII, LLC,  
Its General Partner

/s/ Steve Wiggins  
Name: Steve Wiggins

/s/ Immanuel Thangaraj  
Name: Immanuel Thangaraj

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

**ESSEX WOODLANDS HEALTH  
VENTURES FUND VIII-A, L.P.**

By: Essex Woodlands Health Ventures VIII,  
L.P.,  
Its General Partner

By: Essex Woodlands Health Ventures VIII,  
LLC,  
Its General Partner

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director

**ESSEX WOODLANDS HEALTH  
VENTURES FUND VIII-B, L.P.**

By: Essex Woodlands Health Ventures VIII,  
L.P.,  
Its General Partner

By: Essex Woodlands Health Ventures VIII,  
LLC,  
Its General Partner

By: /s/ Martin P. Sutter  
Name: Martin P. Sutter  
Title: Managing Director