

NOMURA HOLDINGS INC
Form 6-K
December 12, 2017
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of December 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from November 1, 2017 to November 30, 2017

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: December 12, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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*[Translation of Share Buyback Report for the reporting month from November 1, 2017 to November 30, 2017
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on December 12, 2017]*

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of November 30, 2017

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (October 30, 2017) (Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results))	70,000,000	50,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	November 15	2,457,600
	November 16	2,490,000
	November 17	2,640,000
	November 20	2,640,000
	November 21	2,636,000
	November 22	2,507,500
	November 24	2,490,000
	November 27	2,490,000
	November 28	2,490,000

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November 29 2,490,000 1,659,096,990

November 30

Total	27,821,100	17,934,144,960
Aggregate shares repurchased as of the end of this reporting month	27,821,100	17,934,144,960
Progress of share repurchase (%)	39.7	35.9

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as of November 30, 2017

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
Subtotal		
Other (exercise of stock acquisition rights)	(Date)	2,007,100
	November 1	661,100
	November 2	283,400
	November 6	735,500
	November 7	461,200
	November 8	108,500
	November 9	512,600
	November 10	191,200
	November 13	37,200
	November 14	30,000
	November 15	45,500
	November 16	39,300
	November 17	42,900
	November 20	122,900
	November 21	106,700
	November 22	1,000

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	November 24	584,300	881,300
	November 27	2,200	299,200
	November 28	16,700	1,204,700
	November 29	27,800	27,800
	November 30		
Subtotal		6,017,100	108,357,600
Total		6,017,100	108,357,600

3. Status of shares held in treasury

as of November 30, 2017

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	391,849,190