

NOMURA HOLDINGS INC
Form 6-K
November 13, 2017
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from October 1, 2017 to October 31, 2017

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents*[Translation of Share Buyback Report for the reporting month from October 1, 2017 to October 31, 2017**filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on November 13, 2017]***Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of October 31, 2017

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 27, 2017) (Period of repurchase: from May 17, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	80,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	October 2	2,632,300
	October 3	2,845,000
	October 4	2,845,000
	October 5	2,845,000
	October 6	2,412,000
	October 10	2,572,000
	October 11	2,572,000
	October 12	2,502,000
	October 13	2,469,300

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	October 16	2,016,000	1,326,339,070
	October 17	1,956,000	1,284,541,010
	October 18	2,016,000	1,335,380,900
	October 19	1,759,100	1,151,343,080
	October 20	1,658,500	1,102,061,560
	October 23		
Total		35,772,300	23,053,176,050
Aggregate shares repurchased as of the end of this reporting month		100,000,000	62,349,404,990
Progress of share repurchase (%)		100.0	77.9

as of October 31, 2017

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (October 30, 2017)		
(Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results))	70,000,000	50,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	0
Total		0
Aggregate shares repurchased as of the end of this reporting month		0
Progress of share repurchase (%)		0.0

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as of October 31, 2017

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
Subtotal		
Other (exercise of stock acquisition rights)	(Date)	114,800
	October 2	298,200
	October 3	6,000
	October 4	13,100
	October 5	43,600
	October 6	23,500
	October 10	34,500
	October 11	19,000
	October 12	9,000
	October 13	3,500
	October 16	20,800
	October 17	4,000
	October 18	14,000
	October 19	20,000
	October 20	90,800

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	October 23	614,600	3,575,600
	October 24	151,500	2,989,500
	October 25	228,700	9,825,700
	October 26	63,400	14,160,400
	October 27	25,300	9,404,900
	October 30	13,000	6,162,000
	October 31		
Subtotal		1,811,300	133,719,400
Total		1,811,300	133,719,400

3. Status of shares held in treasury

as of October 31, 2017

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	370,043,353