Inogen Inc Form 8-K April 20, 2015

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of

The Securities Exchange Act of 1934

**Date of Report (Date of earliest event reported)** 

**April 15, 2015** 

### INOGEN, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

**001-36309** (Commission

**33-0989359** (IRS Employer

incorporation) File Number)

**Identification No.**)

## Edgar Filing: Inogen Inc - Form 8-K

## 326 Bollay Drive

#### Goleta, California 93117

(Address of principal executive offices, including zip code)

(805) 562-0500

(Registrant s telephone number, including area code)

### Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01.Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing. On April 15, 2015, Inogen, Inc. (the Company ) received a notice (the Notice ) from The NASDAQ Stock Market LLC ( NASDAQ ) stating that because the Company had not yet filed its Annual Report on Form 10-K for the fiscal year ended December 31, 2014 (the Form 10-K ), the Company is no longer in compliance with NASDAQ Listing Rule 5250(c)(1). Nasdaq Listing Rule 5250(c)(1) requires listed companies to timely file all required periodic financial reports with the Securities and Exchange Commission (the SEC ).

The Notice states that the Company has 60 calendar days to submit to NASDAQ a plan to regain compliance with the NASDAQ Listing Rules. If NASDAQ accepts the Company s plan, then NASDAQ may grant the Company up to 180 days from the prescribed due date for filing the Form 10-K to regain compliance. If NASDAQ does not accept the Company s plan, then the Company will have the opportunity to appeal that decision to a NASDAQ Hearings Panel.

On April 20, 2015, the Company issued a press release announcing its receipt of the Notice. A copy of the press release is attached as Exhibit 99.1 and is incorporated herein by reference.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

## **Exhibit** Description

99.1 Press Release, dated April 20, 2015.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# INOGEN, INC.

By: /s/Alison Bauerlein Alison Bauerlein

Executive Vice President, Finance, Chief Financial Officer, Secretary and Treasurer

Date: April 20, 2015

# EXHIBIT INDEX

# **Exhibit**

No. Description

99.1 Press Release, dated April 20, 2015.