

HERCULES TECHNOLOGY GROWTH CAPITAL INC
Form 8-K
December 08, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 8, 2014 (December 3, 2014)

Hercules Technology Growth Capital, Inc.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction

of incorporation)

814-00702
(Commission File No.)

74-3113410
(I.R.S. Employer

Identification No.)

400 Hamilton Ave., Suite 310

Palo Alto, CA
(Address of principal executive offices)

94301
(Zip Code)

Registrant's telephone number, including area code: (650) 289-3060

Not Applicable

(Former name or address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On December 3, 2014, the Board of Directors of Hercules Technology Growth Capital, Inc. (the Company) approved the following persons as executive officers and officers of the Company as such term is defined under Rule 16a-1(f) under the Securities Exchange Act of 1934, as amended:

Manuel Henriquez, Chief Executive Officer and President;

Jessica Baron, Chief Financial Officer;

Scott Bluestein, Chief Investment Officer;

Michael Butler, Chief Compliance Officer; and

Andrew Olson, Corporate Controller.

As of December 3, 2014, Parag Shah will no longer be designated as an executive officer of the Company. Mr. Shah will continue to work in the Company's Life Sciences Group.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

December 8, 2014

HERCULES TECHNOLOGY GROWTH CAPITAL, INC.

By: /s/ Jessica Baron
Jessica Baron
Chief Financial Officer