

SIMPLETECH INC  
Form 8-K  
November 08, 2006

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported) **November 8, 2006**

**SIMPLETECH, INC.**

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(Exact name of registrant as specified in charter)

**California**

**000-31623**

**33-0399154**

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(State or other jurisdiction  
of incorporation)

(Commission  
File Number)

(IRS Employer  
Identification No.)

**3001 Daimler Street, Santa Ana, California**

**92705-5812**

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(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code **(949) 476-1180**

**N/A**

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(Former Name or Former Address, if Changed Since Last Report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

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- “ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- “ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

ITEM 2.02. Results of Operations and Financial Condition.

On November 8, 2006, SimpleTech, Inc. (the Registrant ) issued a press release to announce its preliminary financial results for the third quarter ended September 30, 2006. A copy of the press release issued by the Registrant on November 8, 2006 is furnished herewith as Exhibit 99.1 and is incorporated herein by reference.

In accordance with General Instruction B.2 of Form 8-K, the information in this Form 8-K and the exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, unless the Registrant specifically incorporates the foregoing information into those documents by reference.

ITEM 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following exhibit is furnished as part of this report:

| <b>Exhibit Number</b> | <b>Description of Exhibit</b>  |
|-----------------------|--|
| 99.1                  | Press Release of SimpleTech, Inc., dated November 8, 2006, to announce its preliminary financial results for the third quarter ended September 30, 2006 (furnished and not filed herewith solely pursuant to Item 2.02). |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

SimpleTech, Inc.

Date: November 8, 2006

By: /s/ Dan Moses  
Dan Moses

Chief Financial Officer and Executive Vice President

**Exhibit Index**

**Exhibit  
Number**

**Description of Exhibit**

99.1

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