#### PATTERSON ARTHUR C

Form 4 May 24, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

Expires:

3235-0287 January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* PATTERSON ARTHUR C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ACTUATE CORP [ACTU] (First) (Middle) (Last)

3. Date of Earliest Transaction

(Check all applicable) X\_ Director 10% Owner

**ACTUATE CORPORATION, 2207** 

(Zip)

(Month/Day/Year) 05/22/2013

Officer (give title Other (specify below)

BRIDGEPOINTE PARKWAY. **SUITE 500** 

(City)

Common

Stock (1) (2)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN MATEO, CA 94404

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(State)

05/22/2013

3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported Transaction(s)

or (Instr. 3 and 4)

Amount 16,000

Code V

A

Price (D)

\$0

(A)

Α

 $1,025,970 \stackrel{(3)}{=}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: PATTERSON ARTHUR C - Form 4

| 1. | Title of  | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                   | 5.         | 6. Date Exerc       | cisable and       | 7. Titl | le and   | 8. Price of | 9. Nu |
|----|-----------|-------------|---------------------|--------------------|----------------------|------------|---------------------|-------------------|---------|----------|-------------|-------|
| D  | erivative | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber    |            | Expiration D        | ate               | te Amou |          | Derivative  | Deriv |
| S  | ecurity   | or Exercise |                     | any                | Code                 | of         | (Month/Day/         | Year)             | Under   | lying    | Security    | Secui |
| (I | nstr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivativ |            | ;                   |                   | Secur   | ities    | (Instr. 5)  | Bene  |
|    |           | Derivative  |                     |                    |                      | Securities |                     |                   | (Instr. | 3 and 4) |             | Owne  |
|    |           | Security    |                     |                    | Acquired             |            |                     |                   |         |          |             | Follo |
|    |           | •           |                     |                    |                      | (A) or     |                     |                   |         |          |             | Repo  |
|    |           |             |                     |                    |                      | Disposed   |                     |                   |         |          |             | Trans |
|    |           | of (D)      |                     |                    |                      |            |                     |                   | (Instr  |          |             |       |
|    |           |             |                     |                    |                      | (Instr. 3, |                     |                   |         |          |             | `     |
|    |           |             |                     |                    |                      | 4, and 5)  |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      | , ,        |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Amount   |             |       |
|    |           |             |                     |                    |                      |            | Date<br>Exercisable | Expiration e Date | Title   | or       |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Number   |             |       |
|    |           |             |                     |                    |                      |            | Lacicisable         |                   |         | of       |             |       |
|    |           |             |                     |                    | Code V               | (A) (D)    |                     |                   |         | Shares   |             |       |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PATTERSON ARTHUR C ACTUATE CORPORATION 2207 BRIDGEPOINTE PARKWAY, SUITE 500 SAN MATEO, CA 94404

X

# **Signatures**

/s/ Arthur C. Patterson

05/24/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of the Issuer's common stock underlying restricted stock units ("RSUs") awarded to the Reporting Person. The RSUs (1) will vest in full upon the Reporting Person's continuation in Board service with the Issuer through May 22, 2014, subject to accelerated vesting upon certain changes in ownership or control of the Issuer.
- (2) Unless the Reporting Person elects to defer the issuance of the shares until the Reporting Person's separation from service from the Issuer, the shares of the Issuer's common stock will be issued as the RSUs vest.
- (3) Includes 16,000 shares of the Issuer's common stock underlying the RSU award reported on this Form 4 and 24,000 shares of the Issuer's common stock underlying RSUs previously awarded.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2