

Harkins Bryan
Form 3
March 06, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Harkins Bryan | | (Month/Day/Year) | Cboe Global Markets, Inc. [CBOE] | |
| (Last) | (First) | (Middle) | 03/01/2018 | |
| C/O CBOE GLOBAL MARKETS, INC., Â 400 SOUTH LASALLE STREET | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | (Check all applicable) | | | |
| | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner | | |
| | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | (give title below) (specify below) | | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | EVP, Equities and FX | | <input type="checkbox"/> Form filed by More than One Reporting Person | |
| CHICAGO, Â IL Â 60605 | | | | |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 41,939 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

Edgar Filing: Harkins Bryan - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Restricted Stock Units | Â (2) | Â (2) | Common Stock | 6,219 | \$ (3) | D | Â |
| Restricted Stock Units | Â (4) | Â (4) | Common Stock | 4,146 | \$ (3) | D | Â |
| Restricted Stock Units | Â (5) | Â (5) | Common Stock | 2,554 | \$ (3) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Harkins Bryan C/O CBOE GLOBAL MARKETS, INC. 400 SOUTH LASALLE STREET CHICAGO, IL 60605 | Â | Â | Â EVP, Equities and FX | Â |

Signatures

/s/ Laura Zinanni,
attorney-in-fact

03/06/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes: 5,363 shares of restricted Common Stock that are scheduled to vest 12/1/2018; 2,142 shares of restricted Common Stock that are scheduled to vest 12/15/2018; 12,447 shares of restricted Common Stock that are scheduled to vest 1/13/2019; 3,364 shares of restricted Common Stock that are scheduled to vest 12/1/2019; 2,142 shares of restricted Common Stock that are scheduled to vest 12/15/2019; 12,447 shares of restricted Common Stock that are scheduled to vest 1/13/2020.

(2) The restricted stock units vest on February 28, 2020.

(3) Each restricted stock unit represents a contingent right to receive one share of Cboe Global Markets, Inc. common stock.

(4) The restricted stock units vest in three equal annual installments, which began on February 28, 2018.

(5) The restricted stock units vest in three equal annual installments, beginning on February 19, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.