

ROSS STORES INC
Form 5
March 06, 2017

FORM 5

OMB APPROVAL

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
FASSIO JAMES S

(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
ROSS STORES INC [ROST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5130 HACIENDA DRIVE

(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
01/28/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President, Chief Dev. Officer

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting (check applicable line)

DUBLIN, CA 94568

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|-----------------------------------|
| Common Stock | 09/30/2016 | Â | G | 110 | D | \$ 0 | 219,101 ⁽¹⁾ | D | Â |
| Common Stock | 12/30/2016 | Â | G | 97 | D | \$ 0 | 219,101 ⁽¹⁾ | D | Â |
| Common Stock | 09/30/2016 | Â | G | 110 | A | \$ 0 | 519,516 | I | by Trust A ⁽²⁾ |
| Common Stock | 10/05/2016 | Â | G ⁽³⁾ | 5,000 | D | \$ 0 | 514,516 | I | by Trust A ⁽²⁾ |
| | 12/30/2016 | Â | G | 97 | A | \$ 0 | 514,613 | I | |

Edgar Filing: ROSS STORES INC - Form 5

| | | | | | | | | | | |
|--------------|---|---|---|---|---|---|--------|---|--|-------------------|
| Common Stock | | | | | | | | | | by Trust A (2) |
| Common Stock | Â | Â | Â | Â | Â | Â | 86,930 | I | | by Trust B (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|-------|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|---------------------------------|
| | Director | 10% Owner | Officer | Other |
| FASSIO JAMES S 5130 HACIENDA DRIVE DUBLIN, CA 94568 | Â | Â | Â | President, Chief Dev. Officer Â |

Signatures

/s/ John Call for James Fassio 03/06/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities Beneficially Owned includes shares acquired pursuant to issuer's employee stock purchase plan in a transaction exempt under Rule 16b-3.
- (2) Securities held in the name of James S. Fassio Revocable Trust.
- (3) Bona Fide Gift

Edgar Filing: ROSS STORES INC - Form 5

(4) Securities held in the name of James S. Fassio 2012 Dynasty Irrevocable Trust.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.