#### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 3

#### NEWMONT MINING CORP /DE/

Form 3 May 06, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NEWMONT MINING CORP /DE/ [NEM] A Palmer Thomas Ronald (Month/Day/Year) 05/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 6363 SOUTH FIDDLER'S (Check all applicable) **GREEN CIRCLE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, COO Person **GREENWOOD** Form filed by More than One VILLAGE. COÂ 80111 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5)

(Instr. 5)

56,198 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common Stock, \$1.60 par value

SEC 1473 (7-02)

D

Â

Direct (D) or Indirect (I)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Palmer Thomas Ronald 6363 SOUTH FIDDLER'S GREEN CIRCLE Â Â Ê EVP, COO Â GREENWOOD VILLAGE, COÂ 80111

### **Signatures**

/s/ Logan H. Hennessey, as attorney-in-fact for Thomas R. 05/06/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 45,732 restricted stock units representing a right to receive one share of the Issuer's common stock in accordance with the terms and conditions of the Reporting Person's restricted stock unit agreements. Restricted stock units generally vest in three equal installments

(1) beginning on the first anniversary of the date of grant. Restricted stock units held by the Reporting Person will vest as follows: 11,767 units on February 22, 2017, 6,965 units on February 24, 2017, 11,768 units on February 22, 2018, 3,464 units on February 24, 2018 and 11,768 units on February 22, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2