Edgar Filing: INTERCEPT PHARMACEUTICALS INC - Form 4

INTERCEPT PHARMACEUTICALS INC

Form 4

November 19, 2013

FORM	ЛД					OMB AF	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287	
if no lon subject t Section	Check this box if no longer subject to Section 16. Form 4 or Y ashington, D.C. 20349 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Number: January 31, 2005 Estimated average burden hours per response 0.5		
Form 5 obligation may con See Instruction 1(b).	Section 17((a) of the Public V	16(a) of the Secu Utility Holding C Investment Comp	ompany Act o	f 1935 or Section	·		
(Print or Type	Responses)							
Shapiro David Symbol			ner Name and Ticker RCEPT	or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		PHAR	MACEUTICAL	S INC [ICPT]	(Cnec)	к ан аррисавіе	;)	
(Last)	· · ·	(Month	of Earliest Transaction (Day/Year)	on	DirectorX Officer (give below)		Owner er (specify	
	CEPT CEUTICALS, IN SES STREET	11/15/ C., 18	2013		CMO & E	EVP - Developi	ment	
			nendment, Date Orig	inal	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YOR	K, NY 10013				Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip) Ta	ble I - Non-Derivati	ve Securities Ac	quired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ansaction Date 2A. Deemed htth/Day/Year) Execution Date, if any (Month/Day/Year)		orities Acquired (a posed of (D) a, 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	urities Ownership eficially Form: ned Direct (D) owing or Indirect orted (I) nsaction(s) (Instr. 4)		
Common stock	11/15/2013		Code V Amount $M_{\underline{1}}$ 3,750	\$	8 996	D		
Common stock	11/15/2013		S(1) 4,730	\$ D 48.078	37 4,266	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number omf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock	\$ 10.4001	11/15/2013		M <u>(1)</u>	3,750	(2)	04/01/2018	Common stock	3,750

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting Owner Name / Namess	Director	10% Owner	Officer	Other		
Shapiro David						
C/O INTERCEPT PHARMACEUTICALS, INC.			CMO & EVP -			
18 DESBROSSES STREET			Development			
NEW YORK, NY 10013						

Signatures

/s/ Bryan Yoon, as attorney-in-fact 11/19/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction was made pursuant to a Rule 10b5-1 plan adopted by the reporting person on March 20, 2013.
- (2) All shares underlying this option have vested.

This transaction was executed in multiple trades at prices ranging from \$48.00 to \$48.165. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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