Truett-Hurst, Inc. Form 4 July 01, 2014

FORM 4

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * 1987-1988 Richard C Blum Irrevocable Children's Trust

> (Last) (First)

1133 CONNECTICUT AVENUE

NW SUITE 600.

(Middle)

(Street)

2. Issuer Name and Ticker or Trading Symbol

Truett-Hurst, Inc. [THST]

3. Date of Earliest Transaction (Month/Day/Year)

06/20/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

_X__ 10% Owner Director Officer (give title __ Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X_ Form filed by More than One Reporting

Person

WASHINGTON, DC 20036

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	rities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit bor Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	06/20/2013		P	30,000	A	\$ 5.7944 (1)	535,000	D (2)	
Class A Common Stock	06/24/2013		P	10,000	A	\$ 5.325	545,000	D (2)	
Class A Common Stock	06/25/2013		P	13,500	A	\$ 5.9077 (3)	558,500	D (2)	
Class A Common	06/26/2013		P	5,000	A	\$ 5.73	563,500	D (2)	

06/27/2013	P	10,500	A	\$ 5.6772 (4)	569,000	D (2)
07/11/2013	P	26,764	A	\$ 5.1194 (5)	600,764	D (2)
07/15/2013	P	4,300	A	\$ 5.0281	605,064	D (2)
07/16/2013	P	1,000	A	\$ 5.055	606,064	D (2)
07/17/2013	P	9,000	A	\$ 5.2828	615,064	D (2)
08/01/2013	P	5,000	A	\$ 5.2855	620,064	D (2)
08/02/2013	P	11,000	A	\$ 5.2855 (6)	631,064	D (2)
08/06/2013	P	100	A	\$ 5.53	631,164	D (2)
08/13/2013	P	48,836	A	\$ 5.779 (7)	680,000	D (2)
	07/11/2013 07/15/2013 07/16/2013 07/17/2013 08/01/2013 08/02/2013	07/11/2013 P 07/15/2013 P 07/16/2013 P 07/17/2013 P 08/01/2013 P 08/02/2013 P	07/11/2013 P 26,764 07/15/2013 P 4,300 07/16/2013 P 1,000 07/17/2013 P 9,000 08/01/2013 P 5,000 08/02/2013 P 11,000 08/06/2013 P 100	07/11/2013 P 26,764 A 07/15/2013 P 4,300 A 07/16/2013 P 1,000 A 07/17/2013 P 9,000 A 08/01/2013 P 5,000 A 08/02/2013 P 11,000 A	06/27/2013 P 10,500 A 5.6772 (4) (4) (2) (4) (4) (5) (5) 07/11/2013 P 26,764 A 5.1194 (5) (5) (5) 07/15/2013 P 4,300 A \$ 5.0281 07/16/2013 P 1,000 A \$ 5.055 07/17/2013 P 9,000 A \$ 5.2828 08/01/2013 P 5,000 A \$ 5.2855 08/02/2013 P 11,000 A \$ 5.2855 08/06/2013 P 100 A \$ 5.53	06/27/2013 P 10,500 A 5.6772 569,000 (4) 07/11/2013 P 26,764 A 5.1194 600,764 (5) 07/15/2013 P 4,300 A \$ 5.0281 605,064 07/16/2013 P 1,000 A \$ 5.055 606,064 07/17/2013 P 9,000 A \$ 5.2828 615,064 08/01/2013 P 5,000 A \$ 5.2855 620,064 08/02/2013 P 11,000 A \$ 5.2855 631,064 (6) 08/06/2013 P 100 A \$ 5.779 680,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans

(9-02)

of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date

Exercisable Date

Expiration Title Amount
Date or
Number

of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
1987-1988 Richard C Blum Irrevocable Children's Trust 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036		X			
KLEIN MICHAEL R 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036		X			
Signatures					
lal lim Murray Chief Financial Oficer					

/s/ Jim Murray, Chief Financial Oficer

07/01/2014

**Signature of Reporting Person

Date

/s/ Jim Murray as Attorney-in-Fact for Michael

Klein

07/01/2014 Date

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.7338 to \$5.8675, inclusive. The reporting person undertakes to provide to Truett-Hurst, Inc., any security holder of Truett-Hurst, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (1) and (3) through (7) to this Form 4.
- These shares are directly owned by the 1987-1988 Richard C Blum Irrevocable Children's Trust (the "Trust"). Michael Klein is the sole trustee of the Trust and may, accordingly, be deemed an indirect beneficial owner of the shares held by the Trust, although he is not a beneficiary of the Trust. Mr. Klein disclaims beneficial ownership of the shares held by the Trust, except to the extent of any pecuniary interest therein, and this report shall not be deemed an admission of beneficial owner of such shares for any purpose.
- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.8371 to \$5.9325, inclusive.
- (4) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.5800 to \$5.7292, inclusive.
- (5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.0257 to \$5.2260, inclusive.
- (6) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.2822 to \$5.3050, inclusive.

Reporting Owners 3

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(7) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.7463 to \$5.7860, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.