Truett-Hurst, Inc. Form 3 July 01, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Truett-Hurst, Inc. [THST] 1987-1988 Richard C Blum (Month/Day/Year) 06/19/2013 Irrevocable Children's Trust (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1133 CONNECTICUT AVENUE (Check all applicable) NW SUITE 600.Â (Street) 6. Individual or Joint/Group Director __X__ 10% Owner Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Person WASHINGTON, DCÂ 20036 _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â Class A Common Stock 505,000 $\mathbf{D}^{(1)}$ Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivativ (Instr. 4)	ve Security	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
				Derivative	Security:	

Edgar Filing: Truett-Hurst, Inc. - Form 3

Date Expiration Title
Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
Topo mag o man	Director	10% Owner	Officer	Other
1987-1988 Richard C Blum Irrevocable Children's Trust 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036	Â	ÂX	Â	Â
KLEIN MICHAEL R 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036	Â	ÂX	Â	Â

Signatures

/s/ Jim Murray, Chief Financial Oficer

**Signature of Reporting Person

Date

/s/ Jim Murray as Attorney-in-Fact for Michael
Klein

**Signature of Reporting Person

Date

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are directly owned by the 1987-1988 Richard C Blum Irrevocable Children's Trust (the "Trust"). Michael Klein is the sole trustee of the Trust and may, accordingly, be deemed an indirect beneficial owner of the shares held by the Trust, although he is not a beneficiary of the Trust. Mr. Klein disclaims beneficial ownership of the shares held by the Trust, except to the extent of any pecuniary interest therein, and this report shall not be deemed an admission of beneficial owner of such shares for any purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2