#### **DUFFIELD DAVID A**

Form 4

October 17, 2012

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0287 Number: January 31,

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**DUFFIELD DAVID A** 

(First) (Middle)

C/O WORKDAY, INC., 6230 STONERIDGE MALL ROAD

(Street)

2. Issuer Name and Ticker or Trading Symbol

Workday, Inc. [WDAY]

3. Date of Earliest Transaction (Month/Day/Year) 10/17/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director X 10% Owner \_\_Other (specify X\_ Officer (give title below)

Co-Chief Executive Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLEASANTON, CA 94588

(City) (State) (Zip) 1. Title of

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Ownership (Instr. 4) (Instr. 4)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

5. Number of Derivative 4. TransactionSecurities Acquired (A) or Code Disposed of (D)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title ar Underlyir (Instr. 3 a

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(Instr. 3)	Price of Derivative		(Month/Day/Year) (Instr. 8) (Instr. 3, 4, and 5)							
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	
Series A Convertible Preferred Stock	(1)	10/17/2012		C		21,720,000	<u>(1)</u>	<u>(1)</u>	Class F Commo stock (2)	
Class B Common Stock	(2) (3)	10/17/2012		C	21,720,000		(2)(3)	(2)(3)	Class A Commo	
Series B Convertible Preferred Stock	(1)	10/17/2012		С		11,616,000	<u>(1)</u>	<u>(1)</u>	Class I Commo Stock (4	
Class B Common Stock	(2) (3)	10/17/2012		C	11,616,000		(2)(3)	(2)(3)	Class A Commo	
Series C Convertible Preferred Stock	(1)	10/17/2012		С		7,240,484	<u>(1)</u>	(1)	Class I Commo Stock (1)	
Class B Common Stock	(2) (3)	10/17/2012		C	7,240,484		(2)(3)	(2)(3)	Class A Commo	
Series D Convertible Preferred Stock	(1)	10/17/2012		C		7,487,446	<u>(1)</u>	<u>(1)</u>	Class I Commo Stock (3)	
Class B Common Stock	(2) (3)	10/17/2012		C	7,487,446		(2)(3)	(2)(3)	Class A Commo	
Series E Convertible Preferred Stock	(1)	10/17/2012		C		6,614,876	<u>(1)</u>	<u>(1)</u>	Class I Commo Stock	
Class B Common Stock	(2) (3)	10/17/2012		C	6,614,876		(2)(3)	(2)(3)	Class A Commo	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

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Director 10% Owner Officer Other

DUFFIELD DAVID A C/O WORKDAY, INC. 6230 STONERIDGE MAI

6230 STONERIDGE MALL ROAD X Co-Chief Executive Officer

PLEASANTON, CA 94588

# **Signatures**

/s/ David A.
Duffield 10/17/2012

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of convertible preferred stock automatically converted into one (1) share of Class B Common Stock immediately prior to the closing of the Issuer's initial public offering and had no expiration date.
  - Each share of Class B Common Stock is convertible, at any time at the option of the holder, into one (1) share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one (1) share of Class A Common Stock upon any
- (2) transfer, whether or not for value, except for certain permitted transfers described in, and transfers to any "permitted transferee" as defined in, the Issuer's restated certificate of incorporation in effect as of the date hereof. The shares of Class B Common Stock have no expiration date.
  - All shares of Class A and Class B Common Stock will convert automatically into shares of a single class of Common Stock upon the earliest to occur of the following: (a) upon the election by the holders of a majority of the then outstanding shares of Class B Common
- (3) Stock, (b) the date when the number of outstanding shares of Class B Common Stock represents less than 9% of all outstanding shares of Class A and Class B Common Stock, (c) October 11, 2032 or (d) nine months after the death of the later to die of David A. Duffield and Aneel Bhusri. The shares of Class A and Class B Common Stock have no expiration date.
- (4) Held by The David A. Duffield Trust dated April 2, 1997, a revocable living trust, of which Mr. Duffield is trustee and sole beneficiary. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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