Edgar Filing: Voya Financial, Inc. - Form 4

Voya Financial, Inc.									
Form 4 August 17, 2016									
								OMB APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287		
Check this box if no longer			0				Expires:	January 31,	
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP					NERSHIP OF	Estimated a burden hou response	irs per		
Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Response	s)								
1. Name and Address of MARTIN RODNE	Symbol	-			5. Relationship of Reporting Person(s) to Issuer				
	Voya Financial, Inc. [VOYA]				A]	(Check all applicable)			
()			3. Date of Earliest Transaction (Month/Day/Year) 08/15/2016			X Director 10% Owner X Officer (give title Other (specify below) below) Chairman and CEO			
(Stre	eet)	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
NEW YORK, NY	10169					Form filed by M Person	More than One Ro	eporting	
(City) (Stat	te) (Zip)	Table	e I - Non-Do	erivative Se	ecurities Aco	quired, Disposed o	f, or Beneficia	lly Owned	
	any	eemed ition Date, if th/Day/Year)	Code		(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock			Code V	Amount	(D) Price	(Instr. 3 and 4) 3,579.641	I	By 401(k) Plan	
Common Stock						57,779	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Deferred Savings Plan Issuer Stock Units	<u>(1)</u>	08/15/2016		A	17.908	<u>(1)</u>	<u>(1)</u>	Common Stock	17.908
Performance Stock Unit	(2)					(2)	(2)	Common Stock	165,348 (3)
Restricted Stock Units	<u>(2)</u>					(2)	(2)	Common Stock	222,354

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting O when I tunie / I turi ess	Director	10% Owner	Officer	Other			
MARTIN RODNEY O JR 230 PARK AVENUE NEW YORK, NY 10169	Х		Chairman and CEO				
Signatures							
/s/ Jean Weng, Attorney in Fact	08/1	7/2016					

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of these units represents a right to receive the cash value of one share of the company's common stock upon the reporting person's separation from the company. The reporting person may reallocate investments in these units to alternative investments in the future.
- (2) The stock units will vest based on their respective award agreements.

The number of shares of common stock that will be delivered for each performance stock unit depends on the achievement of certain(3) performance factors. Depending on actual performance, the number of shares of common stock delivered upon the vesting date can range from 0% to 150% of the number presented above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.