

3M CO
Form 4
November 15, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Shin Hak Cheol

2. Issuer Name and Ticker or Trading Symbol
3M CO [MMM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3M CENTER

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/14/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

EXEC VICE PRESIDENT

ST. PAUL, MN 55144-1000

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 11/12/2013 | | G | V 120 D \$ 0 | 46,841 | D | |
| Common Stock | 11/14/2013 | | M | 22,077 A \$ 103.15 | 68,918 | D | |
| Common Stock | 11/14/2013 | | S | 977 D \$ 129.81 | 67,941 | D | |
| Common Stock | 11/14/2013 | | S | 185 D \$ 129.82 | 67,756 | D | |
| Common Stock | 11/14/2013 | | S | 2,166 D \$ 129.83 | 65,590 | D | |

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| | | | | | | | |
|--------------|------------|---|-------|---|------------|----------------------|---|
| Common Stock | 11/14/2013 | S | 674 | D | \$ 129.84 | 64,916 | D |
| Common Stock | 11/14/2013 | S | 1,580 | D | \$ 129.85 | 63,336 | D |
| Common Stock | 11/14/2013 | S | 1,199 | D | \$ 129.86 | 62,137 | D |
| Common Stock | 11/14/2013 | S | 388 | D | \$ 129.87 | 61,749 | D |
| Common Stock | 11/14/2013 | S | 937 | D | \$ 129.88 | 60,812 | D |
| Common Stock | 11/14/2013 | S | 1,224 | D | \$ 129.89 | 59,588 | D |
| Common Stock | 11/14/2013 | S | 823 | D | \$ 129.9 | 58,765 | D |
| Common Stock | 11/14/2013 | S | 1,400 | D | \$ 129.91 | 57,365 | D |
| Common Stock | 11/14/2013 | S | 713 | D | \$ 129.92 | 56,652 | D |
| Common Stock | 11/14/2013 | S | 577 | D | \$ 129.93 | 56,075 | D |
| Common Stock | 11/14/2013 | S | 1,913 | D | \$ 129.94 | 54,162 | D |
| Common Stock | 11/14/2013 | S | 713 | D | \$ 129.95 | 53,449 | D |
| Common Stock | 11/14/2013 | S | 713 | D | \$ 129.96 | 52,736 | D |
| Common Stock | 11/14/2013 | S | 1,401 | D | \$ 129.97 | 51,335 | D |
| Common Stock | 11/14/2013 | S | 1,113 | D | \$ 129.98 | 50,222 | D |
| Common Stock | 11/14/2013 | S | 1,693 | D | \$ 129.99 | 48,529 | D |
| Common Stock | 11/14/2013 | S | 700 | D | \$ 130 | 47,829 | D |
| Common Stock | 11/14/2013 | S | 266 | D | \$ 130.01 | 47,563 | D |
| Common Stock | 11/14/2013 | S | 622 | D | \$ 130.02 | 46,941 | D |
| Common Stock | 11/14/2013 | S | 100 | D | \$ 130.024 | 46,841 | D |
| | | | | | | 1,357 ⁽¹⁾ | I |

Common
Stock

By
401k/paesop
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-qualified Stock Option (Right to Buy) | \$ 103.15 | 11/14/2013 | | M | 22,077 | 08/20/2013 05/09/2014 | Common Stock | 22,077 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Shin Hak Cheol 3M CENTER ST. PAUL, MN 55144-1000 | | | EXEC VICE PRESIDENT | |

Signatures

George Ann Biros, attorney-in-fact for Hak Cheol Shin
11/15/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired during the fiscal year pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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