Edgar Filing: COCA-COLA ENTERPRISES, INC. - Form 4

COCA-COLA ENTERPRISES, INC. Form 4 November 05, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Sezer Yahya Esat Issuer Symbol COCA-COLA ENTERPRISES, INC. (Check all applicable) [CCE] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner _X__Officer (give title Other (specify (Month/Day/Year) below) below) 2500 WINDY RIDGE PARKWAY. 11/04/2013 Sr VP & Chief Information Off. NW, 14TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting ATLANTA, GA 30339 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) (Instr. 4) Following Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D) Common 11/04/2013 \$ 26.1 27,945 11/04/2013 Μ 22,450 А D Stock Common 11/04/2013 11/04/2013 Μ 13,534 А \$ 24.4 41,479 D Stock Common 35.984 D 11/04/2013 11/05/2013 S 5,495 D (1) 41.65 Stock

Common

Common

Stock

Stock

11/05/2013

11/05/2013

11/05/2013

11/05/2013

Μ

S

22,950

22,950

(1)

A

D

28,445

5,495

D

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number o orDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2011 Stock Option Award	\$ 26.1	11/04/2013	11/04/2013	М	22,45	0 11/03/2012	11/03/2021	Common Stock	22,450	
2010 Stock Option Award	\$ 24.4	11/04/2013	11/04/2013	М	13,53	4 11/04/2011	11/04/2020	Common Stock	13,534	
2012 Stock Options Award	\$ 30.79	11/05/2013	11/05/2013	М	22,95	0 11/05/2013	11/05/2022	Common Stock	22,950	

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Sezer Yahya Esat 2500 WINDY RIDGE PARKWAY, NW 14TH FLOOR ATLANTA, GA 30339			Sr VP & Chief Information Off.				
Signatures							
Suzanne N. Forlidas, attorney-in-fact	11/05/20	13					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were exercised and shares were sold pursuant to a 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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