BAUMAN PHILLIP A

Form 4 June 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

HARRIS & HARRIS GROUP INC

30(h) of the Investment Company Act of 1940

Symbol

1(b).

Stock

(Print or Type Responses)

BAUMAN PHILLIP A

1. Name and Address of Reporting Person *

See Instruction

			/NY/ [TINY]						(Check an applicable)						
(Last) (First) (Middle) 1450 BROADWAY, 24TH FLOOR				3. Date of Earliest Transaction (Month/Day/Year) 06/04/2010						X Director Officer (giv below)	Officer (give title Other (specify				
	NEW YORK	(Street)		4. If Amer Filed(Mon			e Original			6. Individual or J Applicable Line) _X_ Form filed by Form filed by Person	•	erson			
(City) (State) (Zip) Table I - Non-Derivative Securit								ities Acc	es Acquired, Disposed of, or Beneficially Owned						
	1.Title of Security (Instr. 3)	any					4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
	Common Stock	06/04/2010			P		1,000	A	\$ 4.25	38,083	D				
	Common Stock	06/04/2010			P		1,000	A	\$ 4.3	39,083	D				
	Common Stock									100	I	Daughter			
	Common Stock									5,637	I	Spouse			
	Common									100	I	Daughter			

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Common Stock 100 I Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	• •		Code	of	_		Underlying	Security	Secui	
2	Price of		(Month/Day/Voor)	(Instr. 8)	Derivativ	`	(i cai)	Secur	, ,		
(Instr. 3)			(Month/Day/Year)	(111811. 8)						(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						·
					4, and 5)						
					, ,						
									Amount		
						Date	Expiration		or		
							*	Title	Number		
						Exercisable Da	Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

BAUMAN PHILLIP A

X

1450 BROADWAY 24TH FLOOR NEW YORK, NY 10018

Signatures

/s/ Jackie Matthews by Power of Attorney 06/04/2010

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2