### Edgar Filing: WACHOVIA CORP NEW - Form 3

#### WACHOVIA CORP NEW

Form 3 April 20, 2006

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WACHOVIA CORP NEW [WB] Enos Gerald Adams JR (Month/Day/Year) 04/18/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **WACHOVIA** (Check all applicable) CORPORATION, Â 301 SOUTH COLLEGE STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Sr. VP Operations and Tech \_X\_ Form filed by One Reporting Person CHARLOTTE, NCÂ \_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 3 4 Nature of Indirect Beneficial 1 Title of Security

(Instr. 4)	Beneficially Owned (Instr. 4)	Ownership Form: Direct (D) or Indirect	Ownership (Instr. 5)
	25 260 220 (10)	(I) (Instr. 5)	•
Common Stock	27,368.029 <u>(10)</u>	D	Â
Common Stock	551.9373	I	By 401k
Common Stock	2	Ι	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable an Expiration Date (Month/Day/Year)	nd	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Exp Dat	-	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
ESOP (Right to Buy)	01/22/2000(2) 01/	1/22/2009	Common Stock	2,432	\$ 42.91	D	Â
ESOP (Right to Buy)	01/22/2000(2) 01/	1/22/2009	Common Stock	8,568	\$ 42.91	D	Â
ESOP (Right to Buy)	01/28/2001(4) 01/	1/28/2010	Common Stock	3,298	\$ 32	D	Â
ESOP (Right to Buy)	01/28/2001(4) 01/	1/28/2010	Common Stock	876	\$ 32	D	Â
ESOP (Right to Buy)	12/18/2001 12/	2/18/2010	Common Stock	4	\$ 24.34	D	Â
ESOP (Right to Buy)	04/16/2003(5) 04/	1/16/2012	Common Stock	9,796	\$ 37.98	D	Â
ESOP (Right to Buy)	04/22/2004(6) 04/	1/22/2013	Common Stock	23,718	\$ 37.43	D	Â
ESOP (Right to Buy)	04/19/2005(7) 04/	1/19/2014	Common Stock	22,864	\$ 44.65	D	Â
ESOP (Right to Buy)	04/18/2006(8) 04/	1/18/2015	Common Stock	20,777	\$ 50.38	D	Â
ESOP (Right to Buy)	03/31/2007(9) 03/	3/30/2016	Common Stock	58,740	\$ 56.05	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
- 9	Director	10% Owner	Officer	Other	
Enos Gerald Adams JR					
WACHOVIA CORPORATION	â	â	Sr. VP Operations and Tech	â	
301 SOUTH COLLEGE STREET	A	A	A Sr. VP Operations and Tech	A	
CHARLOTTE Â NCÂ					

# **Signatures**

Gerald Adams Enos JR	04/20/2006
**Signature of Reporting Person	Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one year is limited to 100,000 dollars.
- (2) The option becomes exercisable in 20% increments on each of January 22, 2000, 2001, 2002, 2003, and 2004, respectively.
- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (4) The option becomes exercisable in 20% increments on each of January 28, 2001, 2002, 2003, 2004, and 2005, respectively.
- (5) The option vests in three equal installments beginning on 4/16/2003.
- The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/22/2004.
- (7) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/19/2005.
- (8) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/18/2006.
- (9) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 3/31/2007.
- (10) As of 4/18/06 includes 24,864 of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.