#### WACHOVIA CORP NEW

Form 4 October 04, 2005

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

**OMB APPROVAL** 

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * CASTEEN JOHN T III			2. Issuer Nar Symbol	ne <b>and</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earl	[A CORP NEW [WB]	`	eck all applicat	ŕ	
UNIVERSITY OF VIRGINIA, P.O. BOX 400224			(Month/Day/Y 10/03/2005	ear)	X Director Officer (g below)	ther (specify		
	(Street)		4. If Amendme	ent, Date Original	6. Individual of	r Joint/Group F	Filing(Check	
CHARLOTTESVILLE, VA 22904-4224			Filed(Month/Da	ay/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	on-Derivative Securities Ac	quired, Disposed	l of, or Benefic	cially Owne	
1.Title of 2.	Γransaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: WACHOVIA CORP NEW - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquir (A) or Disposed (D) (Instr. 3, 4, and 5)	of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Phantom Stock Units (1)	\$ 0	10/03/2005		A	1,032.4484		(2)	(2)	Common Stock	1,032.44

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
CASTEEN JOHN T III UNIVERSITY OF VIRGINIA P.O. BOX 400224 CHARLOTTESVILLE, VA 22904-4224	X				
Cianaturas					

## **Signatures**

John T. Casteen,
III

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- (2) Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the director's termination of the service as a member of the issuer's board of directors.
- (3) Includes 63.251 shares acquired on 9/15/05 through Wachovia Corporation's dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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