## Edgar Filing: ARADIGM CORP - Form 4

ARADIGM Form 4 June 23, 201											
FORM	1 4							-	PPROVAL		
	UNITED	STATES SE	CURITIES A Washington			NGE (	COMMISSION	OMB Number:	3235-0287		
Check th						Expires:	January 31,				
if no longer subject to STATEMENT OF CHANGES IN B					S IN BENEFICIAL OWNERSHIP OF				Estimated average 2005		
Section 1	6.	SECURITIES						burden hours per			
Form 4 o Form 5									0.5		
Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section											
may cont	linue.		the Investment	•	- ·			11			
See Instruction 1(b).	uction	50(11) 01 (		compan	y 1100	. 01 17	10				
1(0).											
(Print or Type I	Responses)										
		- *							<i>.</i>		
1. Name and Address of Reporting Person *2. Issuer Name and Ticker or TradingFroehlich Juergen KurtSymbol					g	5. Relationship of Reporting Person(s) to Issuer					
110cmicii Ju	iergen Kurt	-					105401				
			ARADIGM CORP [ARDM]				(Check all applicable)				
			3. Date of Earliest Transaction			Director	100/	Ouron			
C/O ARAD	Month/Day/Year) )6/21/2016			X Officer (give title Other (specify							
POINT EDEN WAY				below)			· · · · · · · · · · · · · · · · · · ·	below) ef Medical Officer			
	(Streat)	4.1									
			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)					
rned(Monu/Day) ie								y One Reporting Person			
HAYWARI	D, CA 94545						Form filed by N Person	Iore than One Re	porting		
(City)	(Stata)	(Zin)									
(City)	(State)	(Zip)	Table I - Non-I	Derivative S	Securi	ties Acc	quired, Disposed of		-		
1.Title of	2. Transaction Date		3.				5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Year)	Execution Da	on Date, if Transaction(A) or Disposed of Code (D)					Form: Direct (D) or	Beneficial		
(			/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership			
							Following Reported	(Instr. 4)	(Instr. 4)		
					(A)		Transaction(s)				
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	06/21/2016		S	333 <u>(1)</u>		\$ 4.45	89,317 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Froehlich Juergen Kurt C/O ARADIGM CORP 3929 POINT EDEN WAY HAYWARD, CA 94545			Chief Medical Officer				
Signatures							
Juergen Froehlich, By: Nancy Attorney-in-Fact		06/23/2016					
<u>**</u> Signature of Reporting	Person		Date				
Evaluation of Boononooou							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold to pay the tax liability resulting from the vesting of 937 shares of restricted stock granted on March 18, 2016.
- (2) Includes 3,342 shares acquired on April 1, 2016 pursuant to the Company's 1996 Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.