Edgar Filing: EAST WEST BANCORP INC - Form 4

EAST WEST BANCORP INC

Form 4

March 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer which to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, 2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Oh Irene H	2. Issuer Name and Ticker or Trading Symbol EAST WEST BANCORP INC [EWBC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle) 135 N. LOS ROBLES AVE. 7TH FLOOR	3. Date of Earliest Transaction (Month/Day/Year) 03/07/2016	Director 10% Owner Officer (give title Other (specification) below) Chief Financial Officer		
(Street) PASADENA, CA 91101	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner.						ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/07/2016		M	4,901	A	<u>(1)</u>	35,016	D	
Common Stock	03/07/2016		M	4,010	A	<u>(2)</u>	39,026	D	
Common Stock	03/07/2016		F	3,059	D	\$ 32.07	35,967	D	
Common Stock							2,005	I	401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Performance-Based Restricted Stock Units	<u>(1)</u>	03/07/2016		M	4,901	<u>(1)</u>	03/07/2016	Common Stock
Time-Based Restricted Stock Units	(2)	03/07/2016		M	4,010	(2)	03/07/2016(2)	Common Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Oh Irene H

135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101

Chief Financial Officer

Signatures

/s/ Douglas P. Krause,
Attorney-in-fact
03/09/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Performance-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

Reporting Owners 2

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Time-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years, vesting is also subject to meeting pre-established earning goals. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Time-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.