IntelGenx Technologies Corp.

Form 3

September 21, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Nawacki Mark

(Last)

(First)

Statement

(Month/Day/Year)

09/15/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

IntelGenx Technologies Corp. [IGXT]

118 ARLINGTON AVE.

(Street)

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

\_X\_ Director 10% Owner Officer \_Other (give title below) (specify below)

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

#### WESTMOUNT, A8Â H3Y 2W4

(State)

1. Title of Security

(City)

(Instr. 4)

(Zip)

(Middle)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5.

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Expiration Exercisable

Date

Amount or Title Number of Shares

Derivative Security

Price of

Direct (D) or Indirect

(I) (Instr. 5)

#### Edgar Filing: IntelGenx Technologies Corp. - Form 3

Options, right to buy (Common stock 0.00001 p.v.)	03/15/2017	09/14/2026	Common Stock	18,750	\$ 0.73	D	Â
Options, right to buy (Common stock 0.00001 p.v.)	09/15/2017	09/14/2026	Common Stock	18,750	\$ 0.73	D	Â
Options, right to buy (Common stock 0.00001 p.v.)	03/15/2018	09/14/2026	Common Stock	18,750	\$ 0.73	D	Â
Options, right to buy (Common stock 0.00001 p.v.)	09/15/2018	09/14/2026	Common Stock	18,750	\$ 0.73	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Othe		
Nawacki Mark 118 ARLINGTON AVE. WESTMOUNT, A8 H3Y 2W4	ÂX	Â	Â	Â		

# **Signatures**

Ingrid Zerbe for Mark Nawacki 09/20/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).