

Edgar Filing: Salient Midstream & MLP Fund - Form NSAR-B

Salient Midstream & MLP Fund

Form NSAR-B

January 27, 2017

PAGE 1
000 B000000 11/30/2016
000 C000000 0001534293
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SALIENT MIDSTREAM & MLP FUND
001 B000000 811-22626
001 C000000 7139934675
002 A000000 4265 SAN FELIPE, 8TH FLOOR
002 B000000 HOUSTON
002 C000000 TX
002 D010000 77027
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 SALIENT CAPITAL ADVISORS, LLC
008 B000001 A
008 C000001 801-71482
008 D010001 HOUSTON
008 D020001 TX
008 D030001 77027
010 A000001 ALPS FUND SERVICES
010 B000001 84-05730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
011 B000001 8-0114235
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10281
012 A000001 COMPUTERSHARE, INC.
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 KPMG LLP
013 B010001 COLUMBUS
013 B020001 OH
013 B030001 43215
013 B040001 2575
015 A000001 CITIBANK, N.A.
015 B000001 C
015 C010001 NEW YORK
PAGE 2
015 C020001 NY
015 C030001 10013
015 E040001 X
018 000000 Y
019 A000000 N
019 B000000 0

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020 A000001 WELLS FARGO BROKERAGE SERVICES, LLC
020 B000001 41-1508325
020 C000001 100
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.
020 B000002 59-1237041
020 C000002 50
020 A000003 BARCLAYS CAPITAL, INC.
020 B000003 06-1031656
020 C000003 47
020 A000004 GOLDMAN SACHS & CO
020 B000004 13-5108880
020 C000004 39
020 A000005 BTIG, LLC
020 B000005 04-3695739
020 C000005 34
020 A000006 DEUTSCHE BANK SECURITIES, INC.
020 B000006 13-2730828
020 C000006 32
020 A000007 PICKERING ENERGY PARTNERS, INC.
020 B000007 20-0337158
020 C000007 28
020 A000008 USCA SECURITIES, LLC
020 B000008 22-3696585
020 C000008 28
020 A000009 JEFFERIES & CO, INC
020 B000009 95-2622900
020 C000009 26
020 A000010 MORGAN STANLEY SMITH BARNEY LLC
020 B000010 26-4310844
020 C000010 20
021 000000 539
022 A000001 GOLDMAN SACHS & CO.
022 B000001 13-510880
022 C000001 8648
022 D000001 0
022 A000002 BARCLAYS CAPITAL, INC.
022 B000002 06-1031656
022 C000002 3793
022 D000002 16
022 A000003 CITIGROUP GLOBAL MARKETS, INC.
022 B000003 11-2418191
022 C000003 3363
022 D000003 0
022 A000004 RBC CAPITAL MARKETS CORP.
022 B000004 13-5033225
PAGE 3
022 C000004 1226
022 D000004 0
022 A000005 MERRILL LYNCH PROFESSIONAL CLEARING CORP.
022 B000005 12-3247006
022 C000005 1158
022 D000005 0
022 A000006 MORGAN STANLEY & CO., INC.
022 B000006 13-2655998
022 C000006 163
022 D000006 163
022 A000007 USCA SECURITIES, INC.
022 B000007 22-3696585
022 C000007 0
022 D000007 63
022 A000008 PIPELINE TRADING SYSTEMS, LLC.
022 B000008 20-0096986

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| 022 | C000008 | 0 |
| 022 | D000008 | 8 |
| 023 | C000000 | 18352 |
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| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
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| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 1.200 |
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| 050 | 000000 | N |
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| 054 | J000000 | N |
| 054 | K000000 | N |
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| 054 | L000000 | N |
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| 055 | A000000 | Y |
| 055 | B000000 | Y |
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| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
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070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
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070 E020000 N
070 F010000 Y
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070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N

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070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 Y
070 P020000 N
070 Q010000 Y
070 Q020000 N
070 R010000 Y
070 R020000 N

071 A000000 268349
071 B000000 290061
071 C000000 287193
071 D000000 93
072 A000000 12
072 B000000 75
072 C000000 8174
072 D000000 0
072 E000000 0
072 F000000 3583
072 G000000 209
072 H000000 67
072 I000000 16
072 J000000 24
072 K000000 0
072 L000000 40
072 M000000 87
072 N000000 29
072 O000000 0
072 P000000 1322
072 Q000000 0
072 R000000 323
072 S000000 145
072 T000000 0
072 U000000 0
072 V000000 0

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| | |
|-------------|--------|
| 072 W000000 | 369 |
| 072 X000000 | 6214 |
| 072 Y000000 | 0 |
| 072 Z000000 | 2035 |
| 072AA000000 | 0 |
| 072BB000000 | 87149 |
| 072CC010000 | 107743 |
| 072CC020000 | 0 |
| 072DD010000 | 19593 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 1.1100 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0300 |

PAGE 6

| | |
|-------------|--------|
| 074 A000000 | 588 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 739 |
| 074 E000000 | 0 |
| 074 F000000 | 0 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 350102 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 678 |
| 074 M000000 | 83 |
| 074 N000000 | 352190 |
| 074 O000000 | 0 |
| 074 P000000 | 950 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 204 |
| 074 R040000 | 96418 |
| 074 S000000 | 0 |
| 074 T000000 | 254618 |
| 074 U010000 | 17722 |
| 074 U020000 | 0 |
| 074 V010000 | 14.37 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 3 |
| 074 Y000000 | 244916 |
| 075 A000000 | 0 |
| 075 B000000 | 207850 |
| 076 000000 | 13.40 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 C000000 | N |
| 077 D000000 | N |
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| 077 F000000 | N |
| 077 G000000 | N |
| 077 H000000 | N |
| 077 I000000 | N |
| 077 J000000 | N |
| 077 K000000 | N |
| 077 L000000 | N |
| 077 M000000 | N |

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077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 Y
PAGE 7
077 Q030000 N
078 000000 Y
079 010001 811-0
079 020001 Salient Midstream & MLP Fund, Inc.
080 A000000 FEDERAL INSURANCE COMPANY
080 C000000 5625000
081 A000000 Y
081 B000000 21
082 A000000 N
083 A000000 N
084 A000000 N
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON SHARES
087 A020000 79471V105
087 A030000 SMM
087 B010000 79471V105
087 C010000 SMM
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE Chris Arnold
TITLE Treasurer