Edgar Filing: Waters Stephen M - Form 4

Waters Steph Form 4	en M										
September 07	7, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									PPROVAL 3235-0287		
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	5. 5. Filed pur ⁸ nue. ction	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires:January 31Expires:200Estimated averageburden hours perresponse0.3	
Waters Stephen M Sym VA			Symbol	ALERO ENERGY CORP/TX				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) P.O. BOX 696000			3. Date of Earliest Transaction (Month/Day/Year) 09/06/2017					X_Director10% Owner Officer (give titleOther (specify below)Other (specify			
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
	NIO, TX 78269-							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3,	l (A) o l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock, \$.01 par value	09/06/2017			Code V S	Amount 1,500	(D) D	Price \$68	$12,720 \frac{(1)}{(1)}$	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2. Commission	3. Transaction Date		4. Terrer et i	5.	6. Date Exercised		7. Tit		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	· · · ·	Transactio	of	Expiration D		Amou		Derivative	Deriv
Security	or Exercise		any	Code		(Month/Day/	(teal)	Under		Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Secur		(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						``
					4, and 5)						
					i, and 5)						
									Amount		
						D .			or		
						Date Exercisable	Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		
					(\mathbf{D})				Shutos		

Reporting Owners

Reporting Owner Name / Address		Relationsh				
	Director	Director 10% Owner Office		Other		
Waters Stephen M						
P.O. BOX 696000	Х					
SAN ANTONIO, TX 78269-6000						
Signatures						
Ethan A. Jones, as Attorney-in-Fac Waters		09/07/2017				
<u>**</u> Signature of Reporting Pe	Date					

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In addition to the 12,720 shares that are directly beneficially owned by the reporting person, there are an additional 2,940 shares of common stock of the issuer that are held in a trust for which the reporting person's spouse serves as trustee. The reporting person

(1) disclaims beneficial ownership of the 2,940 shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.