

NOMURA HOLDINGS INC  
Form 6-K  
March 14, 2003  
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## **FORM 6-K**

### **U.S. SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**Report of Foreign Private Issuer  
Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934**

**Commission File Number: 1-15270**

For the month of March 2003.

Total number of pages: 15.

The exhibit index is located on page 2.

## **NOMURA HOLDINGS, INC.**

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

## Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F

Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)

(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)

(7):

Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes

No

If  Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

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Information furnished on this form:

**EXHIBIT**

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ MASANORI  
ITATANI

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**Masanori Itatani**

**Director**

Date: March 14, 2003

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(English Translation)

Report on Corporate Stock Repurchase

(report pursuant to Article 24-6, paragraph 1 of Securities and Exchange Law)

Director General of Kanto Finance Bureau

March 10, 2003

Nomura Holdings, Inc.

President & CEO Junichi Ujiie

Address of Principal Office: 9-1, Nihonbashi 1-chome, Chuo-ku, Tokyo, JAPAN

TEL: 81-3-5255-1000

Contact Person: Nomura Holdings, Inc.

General Manager of General Affairs Dept. Katsuo Tsunematsu

Address of Nearest Contact: Same as the above

TEL: Same as the above

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Contact Person: Same as the above

### Locations where Copies of Report of Corporate Stock Repurchase are Available for Public Inspection

<b>Name</b>	<b>Address</b>
Tokyo Stock Exchange, Inc.	2-1, Kabutocho, Nihonbashi, Chuo-ku, Tokyo
Osaka Securities Exchange Co., Ltd.	1-6-10, Kitahama, Chuo-ku, Osaka
Nagoya Stock Exchange Co., Ltd.	3-3-17, Sakae, Naka-ku, Nagoya
Osaka Branch of Nomura Securities Co., Ltd.	2-5-4, Kitahama, Chuo-ku, Osaka
Nagoya Branch of Nomura Securities Co., Ltd.	2-19-22, Nishiki, Naka-ku, Nagoya
Yokohama Branch of Nomura Securities Co., Ltd.	4-52, Onoecho, Naka-ku, Yokohama
Kobe Branch of Nomura Securities Co., Ltd.	1-5-32, Sannomiyacho, Chuo-ku, Kobe
Chiba Branch of Nomura Securities Co., Ltd.	1-14-13, Fujimi, Cho-ku, Chiba

(Note) Although the Securities and Exchange Law does not require us to keep the report for public inspection at Osaka, Nagoya, Yokohama and Kobe Branches of Nomura Securities Co., Ltd., we will do so for investors' convenience.

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(English Translation)

Class of Shares            Common Stock

1. State of Corporate Stock Repurchase

(1) State of Repurchase in accordance with the Resolution at Annual General Meeting of Shareholders ( AGM )

as of February 28, 2003

<u>Section</u>	<u>Number of Shares</u>	<u>Total Value of Shares</u>	<u>Remarks</u>
Resolution at AGM(Resolution on June 26, 2002)	100,000,000 shares	250,000,000,000 yen	(Note)
Stock repurchase during this reporting month (Date of Stock Repurchase)			
Aggregate number of shares repurchased as of the end of this reporting month	20,016,000 shares	26,919,637,000 yen	
Progress with stock repurchase	20.0%	10.8%	

(Note) The ratio of (i) the total number of shares for authorized for repurchase at the annual general meeting of shareholders pursuant to Article 210, paragraph 1 under the Commercial Code divided by (ii) the total number of outstanding shares as of the date of closing of the annual general meeting of shareholders is 5.1%.

(2)Repurchase from Subsidiaries

Not applicable.

(3)Repurchase for Retirement of Shares by Valuation Allowance

Not applicable.

2. Disposition of Shares Repurchased

Not applicable.

3. State of Possession of Shares Repurchased

as of February 28, 2003

Section	Number of Shares	Remarks
Total number of outstanding shares	1,965,919,860 shares	
Number of shares repurchased and currently possessed	20,016,000 shares	
Number of shares repurchased and currently possessed for retirement of shares by valuation allowance		



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March 14, 2003

To: New York Stock Exchange

**Notice of Record Date**

We are pleased to notify to you that Nomura Holdings, Inc. has fixed the record date to March 31, 2003 for the ordinary general meeting of shareholders for the fiscal year ended March 31, 2003 pursuant to Article 9, Paragraph 1 of its Articles of Incorporation. The proposed dividend for this fiscal year has not been resolved yet. It is scheduled to be determined and notified to you around April 30, 2003.

Nomura Holdings, Inc.

9-1 Nihonbashi 1-chome, Chuo-ku,

Tokyo 103-8645, Japan

TEL: 81-3-5255-1000

FAX: 81-3-5255-1064

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**Tokyo, March 14, 2003**

**Changes in Organizations and Directors**

Today, Nomura Holdings, Inc. announces the following changes to its own organization and directors and those of its wholly owned subsidiaries, Nomura Securities Co., Ltd., Nomura Asset Management Co., Ltd., The Nomura Trust & Banking Co., Ltd. and Nomura Capital Investment Co., Ltd.

**A) Changes in Organizations**  
(effective from April 1, 2003)

**Nomura Securities Co., Ltd.**

1. Nomura Group Headquarters

The Internal Audit Department is to be incorporated into the Nomura Group Headquarters.

2. Fixed Income Division

The Structured Products Marketing Department and the Debt Capital Market Department are to be incorporated into the Fixed Income Division.

3. Equity Division

The Equity Quantitative Sales Department is to be reorganized into the Equity Solution Department.

4. Investment Banking Division

- (1) Osaka Investment Banking Department I

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The Investment Banking Department I and Investment Banking Department II at Osaka Branch Office are to be combined to form the Osaka Investment Banking Department I, which is to be incorporated into the Investment Banking Division of the Headquarters.

### (2) Osaka Investment Banking Department II

The Investment Banking Department III at Osaka Branch Office is to be renamed the Osaka Investment Banking Department II, which is to be incorporated into the Investment Banking Division of the Headquarters.

### (3) Nagoya Investment Banking Departments I&II

The Investment Banking Departments I&II at Nagoya Branch Office are to be renamed the Nagoya Investment Banking Departments I&II, respectively, and are to be incorporated into the Investment Banking Division of the Headquarters.

### 5. Financial & Public Sector Institutions Division

The Financial & Public Sector Institutions Division is to be dissolved into the Financial Institutions Department and the Public Sector Institutions Department.

### 6. IT & Operations Division

The IT & Operations Division is to be newly established to incorporate the Systems Planning Department, Operations Planning Department, Clearing & Custody Department, and the Trading Support Department.

### 7. Compliance Division

The Branch Administration Support Department is to be incorporated into the Compliance Division.

### 8. Asia & Oceania Division

The Asia & Oceania Division is to be dissolved and the Asia Department is to be newly created at the Headquarters.

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### Nomura Asset Management Co., Ltd.

#### 1. Investment and Research

As part of ongoing efforts to enhance asset management capabilities, investment and research will be integrated and unified operational management further advanced. The current structure of 4 departments and 15 divisions will be reduced to 1 department and 12 divisions.

#### Departmental & Divisional Reorganization

- (1) The Equity Investment Division, Fixed Income Investment Division, Investment Technology Division and Investment Research Division are to be combined to form the Investment and Research Division.
- (2) The Japanese Equity Investment Department, Japanese Equity Fund Management Department and Global Equity Investment Department are to be integrated into the Equity Investment Department.
- (3) The Fixed Income Investment Department and the Fixed Income Fund Management Department are to be integrated into the Fixed Income Investment Department.
- (4) The Investment Strategy Department and Institutional Clients Investment Department are to be integrated into the Global Balance Investment Department.
- (5) The Credit Research Department is to be newly established.
- (6) Functions of global research are to be transferred to the Economic Research Department and Corporate Research Department and the Global Research Department is to be dissolved.
- (7) Functions from the Investment Business Planning Department are to be combined with the Investment Risk Monitoring Department under the Compliance & Investment Administration Division.

#### Compliance & Investment Administration Division Reorganization

The number of departments in the Compliance & Investment Administration Division will be reduced from 4 to 3 (Compliance Department, Investment Performance Analysis & Risk Supervisory Department, Inspection Department) in order to integrate the Investment Risk Monitoring Department into the Investment Business Planning Department.

#### Products Management Division

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The Disclosure Department is to be transferred to the Fund Administration Division and the Products Management Division is to be dissolved.

The New Products Development Department is to be renamed the New Products Planning & Development Department and will be affiliated to no division.

**B) Business Line Heads**  
(effective from April 1, 2003)

Domestic Retail

Takashi Yanagiya  
Executive Managing Director  
Nomura Securities Co., Ltd.

Global Wholesale

Takumi Shibata  
Executive Managing Director  
Nomura Securities Co., Ltd.

Global Fixed Income

Yasuo Agemura  
Director  
Nomura Securities Co., Ltd.

Global Equity

Hiroshi Tanaka  
Director  
Nomura Securities Co., Ltd.

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Global Investment Banking

Noriyuki Ushiyama  
Director  
Nomura Securities Co., Ltd.

Global Merchant Banking

Yoshifumi Kawabata  
Managing Director  
Nomura Securities Co., Ltd.

**Asset Management**

Kazutoshi Inano  
President  
Nomura Asset Management Co., Ltd.

**C) Business Support Line Heads**

(effective from April 1, 2003)

Global Research

Kenichi Fukuhara  
Director  
Nomura Securities Co., Ltd.

Global Risk Management/  
Treasury/Controller/IR/  
IT & Operations

Kenichi Watanabe  
Director  
Nomura Securities Co., Ltd.

Global Corporate Communications

Masanori Itatani  
Director  
Nomura Holdings, Inc.

**D) Regional Management**

(effective from April 1, 2003)

Americas

Hideyuki Takahashi  
President & CEO  
Nomura Holding America, Inc.

Europe

Hiromi Yamaji  
President & CEO  
Nomura Europe Holdings plc

Asia & Oceanic

Noriyasu Yoshizawa  
President & Managing Director  
Nomura Asia Holding N.V.

**E) Nomura Group Regional Line Heads**

(effective from April 1, 2003)

Americas Fixed Income

Alexander Noujaim

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	Nomura Securities International, Inc. (New York)
Europe Fixed Income	Zenji Nakamura Nomura International plc (London) (transferred from Nomura Securities Co., Ltd.)
Europe Fixed Income	Najib Canaan Nomura International plc (London)
Fixed Income Asia Pacific	Yuji Nakata Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)
Americas Equity	William Mumma Nomura Securities International, Inc. (New York)

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Europe Equity	Yugo Ishida Nomura International plc (London) (transferred from Nomura Securities Co., Ltd.)
Asia Equity	Naoki Matsuba Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)
Americas Investment Banking	Yasuo Kashiwagi Nomura Securities International, Inc. (New York) (transferred from Nomura Securities Co., Ltd.)
Europe Investment Banking	Yasushi Ii Nomura International plc (London) (transferred from Nomura Securities Co., Ltd.)
Asia Investment Banking	Yoshihiro Fukuta Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)
Europe Merchant Banking	Yasushi Ii Nomura International plc (London) (transferred from Nomura Securities Co., Ltd.)
Asia Investment Advisory	Satoshi Kikuchi Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)
Europe Research	Shigeto Shimada Nomura International plc (London) (transferred from Nomura Securities Co., Ltd.)
Asia Research	Kimitaka Morikawa Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)
Americas Administration	Shunichi Ito Nomura Holding America, Inc. (New York) (transferred from Nomura Securities Co., Ltd.)
Americas Administration (Treasury and Controller)	Edward Farrell Nomura Holding America, Inc. (New York)
Americas Administration (IT & Operations)	Ralph Alfonso Nomura Holding America, Inc. (New York)
Europe Administration	David Farrant Nomura International plc (London)
Europe Administration	Hideki Miyazaki (transferred from Nomura Securities Co., Ltd.)  Nomura International plc (London)
Europe Administration (Risk Management/Credit)	David Benson Nomura International plc (London)
Europe Administration	Paul Spanswick



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(Treasury and Controller)

Nomura International plc (London)

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Europe Administration (IT & Operations)	Ian Buchanan Nomura International plc (London)
Asia Administration	Yukinobu Onoda Nomura International (Hong Kong) Limited (transferred from Nomura Securities Co., Ltd.)

Administration is the generic term for Risk Management, Treasury, Controller, and IT & Operations in Global Business Support Lines.

**F) Appointments of Key Management in Nomura Group's Overseas Business**

(effective from April 1, 2003)

Nomura Asia Holding N.V. (Amsterdam)	Noriyasu Yoshizawa President & Managing Director (appointed Director of Nomura Securities Co., Ltd. effective from April 1, 2003)
Banque Nomura France (Paris)	Hiroyuki Yamamoto* Président Directeur Général (currently General Manager of Investment Strategy Department, Financial Research Center at Nomura Securities Co., Ltd.)
Nomura Bank (Luxembourg) S.A.	Yoshikazu Chono* President & Managing Director (currently transferred to Nomura Bank (Luxembourg) S.A.)
Nomura Bank (Switzerland) Ltd. (Zurich)	Minoru Hatada* President & CEO (currently Deputy General Manager of Personnel Department at Nomura Securities Co., Ltd.)
Nomura Singapore Limited	Koki Miura* President (currently Manager of Secretariat at Nomura Securities Co., Ltd.)
Nomura Australia Limited (Sydney)	Koji Ito* Managing Director (currently General Manager of Debt Capital Market Department at Nomura Securities Co., Ltd.)

\* To be transferred from Nomura Securities Co., Ltd.

**G) Changes in Directors**

**1. Nomura Securities Co., Ltd.**

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Changes in Position (effective from April 1, 2003)

Nobuyuki Koga

President & Chief Executive Officer

(currently Executive Vice President & Chief Operating Officer)

Hiroshi Toda

Executive Vice President and Chief Operating Officer

(currently Executive Managing Director)

Takumi Shibata

Executive Managing Director

(currently Managing Director)

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Yoshimitsu Oura

Managing Director

(currently Director)

Yusuke Yamada

Managing Director

(currently Director)

Hitoshi Tada

Managing Director

(currently Director)

Retiring Directors (effective from March 31, 2003)

Junichi Ujiie

President & Chief Operating Officer

Kamezo Nakai

Managing Director

(appointed Director and Principal Executive Officer, Nomura Asset Management Co., Ltd. effective from April 1, 2003)

Satoru Ito

Director

(appointed Advisor effective from April 1, 2003)

Makoto Sonobe

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Director

(appointed Managing Director, The Nomura Trust & Banking Co., Ltd. effective from April 1, 2003)

Yasuaki Fukui

Director

(appointed Statutory Auditor effective from April 1, 2003)

New Directors (effective from April 1, 2003)

Noriyuki Ushiyama

(currently General Manager of the Public Sector Institutions Department)

Noriyasu Yoshizawa

(currently Deputy Head of the Asia & Oceania Division and assigned to Nomura International (Hong Kong) Limited and Nomura Securities Philippines, Inc.)

Masanori Nishimatsu

(currently General Manager of the Retail Strategy Department)

Yasuo Yoshihara

(currently Branch Office Manager of Fukuoka Branch Office)

Toshio Hirota

(currently General Manager of Investment Banking Departments I & III)

Koji Nagai

(currently Branch Office Manager of Kyoto Branch Office)

Akira Maruyama

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(currently General Manager of Nomura Group Headquarters Finance Department and Controller's Department and assigned to Nomura Holdings, Inc.)

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**2. Nomura Asset Management Co., Ltd.**

Retiring Directors (effective from March 31, 2003)

Hisaaki Hino

Director and Principal Executive Officer

(appointed Advisor, Nomura Securities Co., Ltd. effective from April 1, 2003)

Takanori Shimizu

Director and Senior Executive Officer

(appointed Advisor, Nomura Securities Co., Ltd. effective from April 1, 2003)

Masato Tanaka

Director and Senior Executive Officer

(appointed Advisor effective from April 1, 2003)

Toshio Miura

Senior Executive Officer

(appointed Advisor effective from April 1, 2003)

Nobuyuki Koga

Director

New Directors

Kamezo Nakai

(Managing Director, Nomura Securities Co., Ltd.)

Takahide Mizuno

(Senior Executive Officer)

Hiroshi Toda

(Executive Vice President and Chief Operating Officer, Nomura Holdings, Inc.)

**3. The Nomura Trust & Banking Co., Ltd.**

Retiring Directors (effective from March 31, 2003)

Takamichi Arata

Executive Managing Director

(appointed Statutory Auditor, Nomura Asset Management Co., Ltd. effective from April 1, 2003)

Tomozo Uemura

Managing Director

(appointed Statutory Auditor effective from April 1, 2003)

New Directors (effective from April 1, 2003)

Makoto Sonobe

Managing Director

(currently Director, Nomura Securities Co., Ltd.)

**4. Nomura Capital Investment Co., Ltd.**

Retiring Director (effective from March 31, 2003)

Takumi Shibata



President

New Director (effective from April 1, 2003)

Noriyuki Ushiyama

(also appointed Director, Nomura Securities Co., Ltd.)

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Ends

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For further information please contact:

Name	Company	Telephone
Toshiyasu Iiyama	Corporate Communications Dept., Nomura Group Headquarters	+81-3-3278-0591
Ryugo Matsuo	Corporate Communications Dept., Nomura Group Headquarters	+81-3-3278-0591
Tsukasa Noda	Corporate Communications Dept., Nomura Group Headquarters	+81-3-3278-0591

**Notes to editors:**

**The Nomura Group**

Nomura Group, with its core businesses of the securities and related businesses, is dedicated to providing a broad range of financial services for individual, institutional, corporate and government customers. We offer a diverse line of competitive products and value-added financial and advisory services through the 128 domestic branch offices of Nomura Securities Co., Ltd. and our overseas network that combines offices in 28 countries. Our business activities include investment consultation services for domestic retail investors, securities brokerage services, securities underwriting for domestic and foreign governments and corporations, mergers and acquisition and financial advisory services, merchant banking, and asset management for investment trusts and pension funds.

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**Tokyo, 14 March 2003**

**Notice of Stock Repurchase from the Market**

Tokyo Today, Nomura Holdings, Inc. (NHI) announced that, pursuant to the provisions of Article 210 of the Commercial Code, NHI has repurchased shares from the market with details as follows:

1. Type of shares:	NHI common stock
2. Purchase period:	February 7, 2003 through March 14, 2003
3. Number of shares repurchased:	1,920,000 shares
4. Aggregate purchase amount:	JPY 2,370,080,000
5. Method of repurchase:	Purchase at Tokyo Stock Exchange

Reference:

Details of the stock repurchase program authorized at the 98th Annual General Meeting of Shareholders held on June 26, 2002

1. Type of shares:	NHI common stock
2. Total number of shares authorized for repurchase:	Up to 100,000,000 shares
3. Total value of shares authorized for repurchase:	Up to ¥250 billion

Number of stocks repurchased up to March 14, 2003

1. Aggregate number of shares repurchased	21,936,000 shares
2. Aggregate value of shares repurchased	JPY 29,289,717,000

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Ends

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**For further information please contact:**

**Name**

**Company**

**Telephone**

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Toshiyasu Iiyama  
Ryugo Matsuo  
Tsukasa Noda

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Nomura Securities Co., Ltd  
Corporate Communications Dept.,  
Nomura Group Headquarters

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81-3-3278-0591

**Notes to editors:**

**The Nomura Group**

Nomura Group, with its core businesses of the securities and related businesses, is dedicated to providing a broad range of financial services for individual, institutional, corporate and government customers. We offer a diverse line of competitive products and value-added financial and advisory services through the 128 domestic branch offices of Nomura Securities Co., Ltd. and our overseas network that combines offices in 28 countries. Our business activities include investment consultation services for domestic retail investors, securities brokerage services, securities underwriting for domestic and foreign governments and corporations, mergers and acquisition and financial advisory services, merchant banking, and asset management for investment trusts and pension funds.