**NIC INC** Form 4 January 09, 2017

# FORM 4

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* HARTLEY ROSS C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Middle)

NIC INC [EGOV]

(Check all applicable)

C/O NIC INC., 25501 WEST

(Street)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

01/05/2017

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

VALLEY PARKWAY, SUITE 300

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**OLATHE, KS 66061** 

(City)	(State)	(Zip) Tabl	e I - Non-I	<b>Derivative</b>	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Stock							71,506	D	
Common Stock	01/05/2017		S <u>(1)</u>	100	D	\$ 24.125	1,164,632	I	See (2)
Common Stock	01/05/2017		S(1)	12,100	D	\$ 24.15	1,152,532	I	See <u>(2)</u>
Common Stock	01/05/2017		S <u>(1)</u>	100	D	\$ 24.175	1,152,432	I	See (2)
Common Stock	01/05/2017		S(1)	7,600	D	\$ 24.2	1,144,832	I	See (2)

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Common Stock	01/05/2017	S <u>(1)</u>	3,500	D	\$ 24.25	1,141,332	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	3,849	D	\$ 24.3	1,137,483	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	1,000	D	\$ 24.325	1,136,483	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	1,638	D	\$ 24.35	1,134,845	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	5,400	D	\$ 24.4	1,129,445	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	7,587	D	\$ 24.45	1,121,858	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	900	D	\$ 24.475	1,120,958	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	15,033	D	\$ 24.5	1,105,925	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	4,467	D	\$ 24.525	1,101,458	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	10,783	D	\$ 24.55	1,090,675	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	4,900	D	\$ 24.575	1,085,775	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	4,517	D	\$ 24.6	1,081,258	I	See (2)
Common Stock	01/05/2017	S <u>(1)</u>	1,700	D	\$ 24.65	1,079,558	I	See (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	3	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				

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4, and 5)

						Amount
		(D)	Date Exercisable	Expiration Date	Title	of
Code V	(A)	(D)				Shares

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner runner reduces	Director	10% Owner	Officer	Other		
HARTLEY ROSS C C/O NIC INC. 25501 WEST VALLEY PARKWAY, SUITE 300 OLATHE, KS 66061	X					

# **Signatures**

/s/Brian Hamilton, Attorney-in-Fact for Ross C.
Hartley
01/09/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the sale transactions reported on this form are program transactions under a Rule 10b5-1 plan. The Reporting Person intends to sell a total of 250,000 shares under his 10b5-1 plan.
- (2) Shares held by Ross C. Hartley Family Investments, LLC, in which the Reporting Person's spouse holds a majority of the voting interest. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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